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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stephen R. Fox, et al.

Docket: YOR920010104US1 (14270)

Serial No: 09/884,670

Examiner: Unassigned

Filed: June 19, 2001

Art Unit: 2811

For: DIVOT REDUCTION IN SIMOX
LAYERS

Dated: February 1, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

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APR 23 2002
TECHNOLOGY CENTER 2800

STATEMENT OF INVENTORSHIP UNDER 37 C.F.R. §1.48(a)(3)

Sir:

Stephen R. Fox, Neena Garg, Kenneth J. Giewont, Junedong Lee and Devendra K.

Sadana hereby declare and say that:

- (1) We are the listed co-inventors of the above-identified application;
- (2) Maurice H. Norcott and Siegfried L. Maurer were an integral part of the present invention and should be included as a co-inventor, of among other things:

- (a) Maurice H. Norcott and Siegfried L. Maurer assisted in devising the claimed method of substantially reducing the number of tile or divot defects that are present on a silicon-on-insulator (SOI) substrate as well as in the resulting SOI;
- (b) Maurice H. Norcott and Siegfried L. Maurer helped recognize the potential that the method of the present invention may have on forming a substantially tile or divot defect free SOI substrate;

(c) Furthermore, Maurice H. Norcott and Siegfried L. Maurer collaborated with us in determining that the methods of the present invention do in fact have a necessity in the art toward the formation of an SOI substrate that has a reduced number of tile or divot defects;

(3) there is no disagreement between any of the inventors as to any of the statements made herein in regard to the requested change of inventorship.

(4) all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 2/11/02

Stephen R Fox
Stephen R. Fox

Dated: 2/25/02

Neena Garg
Neena Garg

Dated: 2/7/02

Kenneth J. Giewont
Kenneth J. Giewont

Dated: 2/6/02

Junedong Lee
Junedong Lee

Dated: 2/6/02

Devendra K. Sadana
Devendra K. Sadana



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STATEMENT OF MAURICE H. NORCOTT UNDER 37 C.F.R. §1.48(a)(2)

Sir:

I, Maurice H. Norcott, declare and say that:

(1) I am a co-inventor of the above-identified application.

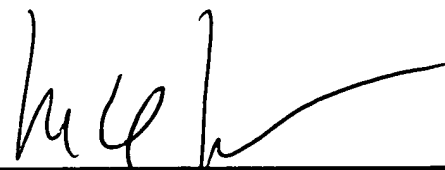
(2) However, I was advised by counsel for assignee that I was not originally named as a co-inventor, but that the assignee is in the process of correcting the inventorship in the above-identified application.

(3) This error in inventorship in the above-identified application occurred without deceptive intention on my part.

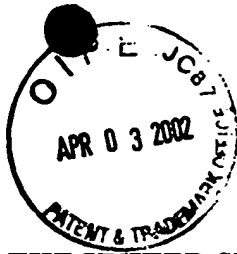
(4) I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any application or any patent issued thereon.

Dated: 03/13/2002

A handwritten signature in black ink, appearing to read 'M. H. Norcott', written over a horizontal line.

Maurice H. Norcott



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STATEMENT OF SIEGFRIED L. MAURER UNDER 37 C.F.R. §1.48(a)(2)

Sir:

I, Siegfried L. Maurer, declare and say that:

(1) I am a co-inventor of the above-identified application.

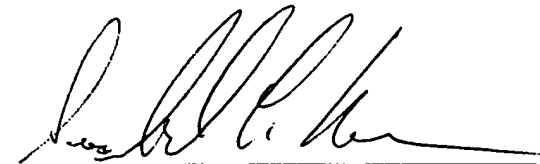
(2) However, I was advised by counsel for assignee that I was not originally named as a co-inventor, but that the assignee is in the process of correcting the inventorship in the above-identified application.

(3) This error in inventorship in the above-identified application occurred without deceptive intention on my part.

(4) I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any application or any patent issued thereon.

Dated: 2-6-02

A handwritten signature in black ink, appearing to read 'Siegfried L. Maurer', written over a horizontal line.

Siegfried L. Maurer